

For Google Chrome and Firefox users please download PDF to desktop and open in Adobe before completing application.
Please complete all sections in full using BLOCK CAPITALS and the relevant boxes.

Your personal information - We may use credit reference and fraud prevention agencies to help us make decisions. What we do and how both FW Capital credit reference and fraud prevention agencies will use your information is detailed in the Credit Reference Agency Information Notice ("CRAIN") which can be accessed **here** (<https://www.equifax.co.uk/crain/index.html>). By confirming your agreement to proceed in this application form you are accepting that we may each use your information in this way.

Please tell us about your business.

Name of business, (including trading name and/or registered corporate name, if these are different):	Date of formation:
	Date business began trading:
	Registered number:
	VAT reg no. (if applicable):
	Business type:
	Limited company <input checked="" type="checkbox"/> Other <input checked="" type="checkbox"/> Partnership <input checked="" type="checkbox"/>
Registered office address:	Business address (if different to registered address):
Postcode:	Postcode:
Telephone:	Website:

Please provide details of any group and/or related companies/businesses:

Please provide a brief description of the business:

Please tell us about your funding requirement.

How much loan funding are you applying for from FW Capital?	£
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Your preferred repayment term (1-5 years):

The business has been materially affected by the current pandemic and/or has been unable to open due to government COVID-19 restrictions.

How has the business been impacted?

What steps has the business taken to mitigate the impact of Covid 19 on the business? (eg. Overhead cost reductions, staff redundancy etc).

What is the purpose of the investment?

Available business security:

Please detail the total funding from other sources for this project

Source:	Amount £:	Source:	Amount £:
Total project cost £			

Please list any aid or grants received from public sources over the past 3 years (including any EFG loans and or Business Interruption Payments via any Government-backed Covid-19 Schemes, such as Bounce Back Loan, CBILS, CLBILS, CCFE) or any applications for financial assistance from public sources, which have been made recently and where decisions are pending. You should include any aid or grants to any associated company.

Date received /applied for	Type of aid/grant	Organisation providing aid/grant	Received	Pending	State aid value £
			X	X	
			X	X	
			X	X	
			X	X	
Total value £					

Please answer the following questions and if 'Yes' provide additional detail in the box below each item. Where required provide additional detail in the other information section at the bottom of the form. FW Capital are only able to consider funding to eligible SME's where private sector lenders (eg banks, finance companies) have been unable to support this requirement, or where indicated terms were deemed unacceptable.

Does your business have, or has it ever had a loan with FW Capital, the Development Bank of Wales or Finance Wales? If yes, please provide details: Yes No

Has the business approached any other lender(s) for this finance? If yes, please provide details: Yes No

Has the business ever been refused finance for this project? If yes, please provide details: Yes No

Has the business ever had any County Court Judgements, whether satisfied or not? If yes, please provide details: Yes No

Does the business have any legal or arbitration proceedings pending or threatened? If yes, please provide details: Yes No

Does the business have any outstanding or overdue creditors? If yes, please provide details: Yes No

Are there any arrears or Time to Pay arrangements in respect of VAT, PAYE or any other taxes? If yes, please provide details: Yes No

Does your business have a beneficial owner*? If yes please provide details. Yes No

*A beneficial owner is an individual who ultimately owns or controls directly or indirectly more than 25% of the shares in a business.

Each director, shareholder of 25%+* and authorised signatory should complete the following parts of this application to help us to comply with our customer due diligence requirements. *If two or more connected persons are shareholders who together hold 25%+ of the shares they should also provide their details. For these purposes connected persons are spouse, civil partner, sibling, parent or grandparent.

First applicant: Please fill in the appropriate boxes.

Director	<input checked="" type="checkbox"/>	25%+ shareholder	<input checked="" type="checkbox"/>
Authorised signatory			<input checked="" type="checkbox"/>
Title:	Forename(s):		
Middle name(s):			
Surname:			
Date of birth:			
Any other name you have been, or are, known by:			
Current residential address:			
			Postcode:
Preferred contact number:			
Mobile number:			
Email:			
Period at this address	Years	Months	
Home owner	<input checked="" type="checkbox"/>	Tenant	<input checked="" type="checkbox"/>
Previous residential address (if at current address for less than three years):			
Period at this address	Years	Months	
Have you previously ever approached FW Capital, The Development Bank of Wales or Finance Wales for funding as a Director, Partner or Majority shareholder?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Have you ever been involved in a failed business?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Have you ever been disqualified as a director?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Have you ever been bankrupt or entered into an IVA or Debt Relief Order?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Do you have any County Court Judgments?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
If answered 'yes' to any of the above questions, please provide further details here:			

Second applicant: Please fill in the appropriate boxes.

Director	<input checked="" type="checkbox"/>	25%+ shareholder	<input checked="" type="checkbox"/>
Authorised signatory			<input checked="" type="checkbox"/>
Title:	Forename(s):		
Middle name(s):			
Surname:			
Date of birth:			
Any other name you have been, or are, known by:			
Current residential address:			
			Postcode:
Preferred contact number:			
Mobile number:			
Email:			
Period at this address	Years	Months	
Home owner	<input checked="" type="checkbox"/>	Tenant	<input checked="" type="checkbox"/>
Previous residential address (if at current address for less than three years):			
Period at this address	Years	Months	
Have you previously ever approached FW Capital, The Development Bank of Wales or Finance Wales for funding as a Director, Partner or Majority shareholder?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Have you ever been involved in a failed business?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Have you ever been disqualified as a director?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Have you ever been bankrupt or entered into an IVA or Debt Relief Order?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
Do you have any County Court Judgments?			
	Yes	<input checked="" type="checkbox"/>	No <input checked="" type="checkbox"/>
If answered 'yes' to any of the above questions, please provide further details here:			

Third applicant: Please fill in the appropriate boxes.

Director	<input checked="" type="checkbox"/>	25%+ shareholder	<input checked="" type="checkbox"/>
Authorised signatory	<input checked="" type="checkbox"/>		
Title:	Forename(s):		
Middle name(s):			
Surname:			
Date of birth:			
Any other name you have been, or are, known by:			
Current residential address:			
			Postcode:

Preferred contact number:			
Mobile number:			
Email:			
Period at this address	Years	Months	
Home owner	<input checked="" type="checkbox"/>	Tenant	<input checked="" type="checkbox"/>
Previous residential address (if at current address for less than three years):			

Period at this address	Years	Months	
Have you previously ever approached FW Capital, The Development Bank of Wales or Finance Wales for funding as a Director, Partner or Majority shareholder?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Have you ever been involved in a failed business?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Have you ever been disqualified as a director?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Have you ever been bankrupt or entered into an IVA or Debt Relief Order?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Do you have any County Court Judgments?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>

If answered 'yes' to any of the above questions, please provide further details here:

Fourth applicant: Please fill in the appropriate boxes.

Director	<input checked="" type="checkbox"/>	25%+ shareholder	<input checked="" type="checkbox"/>
Authorised signatory	<input checked="" type="checkbox"/>		
Title:	Forename(s):		
Middle name(s):			
Surname:			
Date of birth:			
Any other name you have been, or are, known by:			
Current residential address:			
			Postcode:

Preferred contact number:			
Mobile number:			
Email:			
Period at this address	Years	Months	
Home owner	<input checked="" type="checkbox"/>	Tenant	<input checked="" type="checkbox"/>
Previous residential address (if at current address for less than three years):			

Period at this address	Years	Months	
Have you previously ever approached FW Capital, The Development Bank of Wales or Finance Wales for funding as a Director, Partner or Majority shareholder?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Have you ever been involved in a failed business?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Have you ever been disqualified as a director?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Have you ever been bankrupt or entered into an IVA or Debt Relief Order?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Do you have any County Court Judgments?			
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>

If answered 'yes' to any of the above questions, please provide further details here:

How did you first hear about FW Capital?

1 Business event	<input checked="" type="checkbox"/>	5 Online news article	<input checked="" type="checkbox"/>	9 Business magazine	<input checked="" type="checkbox"/>	12 Colleague/friend	<input checked="" type="checkbox"/>
2 Social media	<input checked="" type="checkbox"/>	6 Google search	<input checked="" type="checkbox"/>	10 Online advert	<input checked="" type="checkbox"/>	13 Business adviser	<input checked="" type="checkbox"/>
3 Email newsletter	<input checked="" type="checkbox"/>	7 Radio	<input checked="" type="checkbox"/>	11 Offline advert	<input checked="" type="checkbox"/>	14 TV	<input checked="" type="checkbox"/>
4 Blog article	<input checked="" type="checkbox"/>	8 Newspaper	<input checked="" type="checkbox"/>	15 FW Capital employee	<input checked="" type="checkbox"/>		

If you crossed the box for business adviser or FW Capital employee, please provide their details:

Confirmation and agreements

Please read the following important information carefully.

Use of your information

This sets out how FW Capital Ltd, Development Bank of Wales plc and its group companies, collectively described as 'FW Capital' will use the information provided in this application.

■ FW Capital may obtain information about you from credit reference agencies to help make decisions and to manage your account, including your payment performance. These agencies will supply FW Capital with credit information as well as information from the Electoral Register and other data held on your credit file.

■ The agencies will record details of any searches whether or not this application proceeds and these searches may be seen by other companies who make their own credit enquiries about you. This may affect your ability to obtain credit elsewhere in the near future.

■ Full guidance on what FW Capital does and how both FW Capital and credit reference agencies and fraud prevention agencies will use your information is detailed in the Credit Reference Agency Information Notice ("CRAIN") which can be accessed **here** (<https://www.equifax.co.uk/crain/index.html>).

■ FW Capital may use credit-scoring methods to assess your application.

■ Your application will be assessed using credit reference agency records relating to anyone with whom you are linked financially. This information may also be used for debt tracing as well as the ongoing management of any funding FW Capital provides.

■ FW Capital may share information with credit reference agencies about how you manage your account. This information is available to other organisations (including fraud prevention agencies and other financial institutions) so that they can take decisions about you, your associates and members of your household.

■ Any information provided by you will be held by FW Capital to manage any funding provided and may be shared within FW Capital and with third parties to protect both FW Capital and FW Capital's customers against fraud. More details about how FW Capital uses personal information can be found in our **privacy notice**.

■ In order to prevent or detect fraud the information provided in this application form will be shared with fraud prevention agencies. It is therefore vital that you provide accurate information at all times.

■ You have various rights in relation to your personal information, including the right to copies of any personal data held about you by FW Capital, and to ask for any inaccuracies to be corrected. More information about your rights can be found in our **privacy notice**.

■ FW Capital has the right to request any more information if required.

Confirmation and agreements

In completing this application form I/we confirm the following:

■ I/We submit this application for investment and certify the information provided is true and accurate.

■ I/We authorise FW Capital to request searches from credit reference agencies to look at available information about the business and the applicants associated with the business for the purpose of credit assessment.

■ I/We authorise FW Capital to conduct other investigations required in the assessment of this application including statistical analysis to test the repayment of any funding provided.

■ I/We acknowledge that FW Capital may share information about me/us with third parties such as credit reference agencies, fraud prevention agencies, Northern Powerhouse Investments Ltd and any other third parties both for the assessment of this application, the prevention of financial crime and on-going management of any funding, or follow-on funding, provided.

■ I/We agree that the information and supporting documentation provided will be used by FW Capital to assess this application and FW Capital's decision is final.

■ I/We agree that false or misleading information will result in the application being declined without further explanation.

■ I/We confirm that by completing this application form I/we do not consider the business to be an **Undertaking in Difficulty** as at 31 December 2019. (<https://fwcapital.co.uk/undertaking-difficulty-smes>)

■ I/We confirm I/we have read the following **Data Protection & Disclosure Declaration document** and confirm the accuracy of the statements made therein. (<https://fwcapital.co.uk/data-protection-and-disclosure-declaration-borrower>)

■ I/We confirm that this business has been impacted by the Coronavirus (COVID-19) and is not using or proposing to access any other Covid Related Government Lending Scheme.

Please provide confirmation of the following (if applicable). The FW Capital loan will support the company with the following:

New to firm product/or process	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	If yes, please provide details here
Is product/process operational?	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	If no, please provide status
Is Marketing literature available?	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	
New to Market product	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	If yes, please provide details here including launch date

In submitting this form, I as one of the main directors, confirm I have read and understood the Confirmation and agreements above and that the details on this application are accurate and the information provided is correct.

This application is being completed by (Director): Insert your name	and includes personal information for 1 Insert individual's name
for: Insert company name	2 Insert individual's name 3 Insert individual's name

CONSENT By ticking the following box or boxes you are confirming:-

<input checked="" type="checkbox"/>	That as the applicant I am aware that my personal information provided to FW Capital will be used in accordance with FW Capital's privacy notice, including searches at credit reference agencies to verify the details in this application and for credit assessments.
<input checked="" type="checkbox"/>	I have made the other individuals who are party to this application aware that their personal information provided to FW Capital will be used in accordance with FW Capital's privacy notice, including searches at credit reference agencies to verify details in this application and for credit assessments.

Date:

Additional notes

(This area is intentionally left blank for additional notes.)

Please submit your completed copy of the application form to applications@fwcapital.co.uk

FW Capital Ltd
E: info@fwcapital.co.uk
W: www.fwcapital.co.uk

Registered in England and Wales under number 7078439 FW Capital Limited is a member of the DBW Group. FW Capital Ltd is authorised and regulated by the Financial Conduct Authority

