

# FINANCE APPLICATION FORM

CONFIDENTIAL APPLICATION FOR LOANS OF £100,000 TO £750,000



Please complete all sections in full

## Please tell us about your business

Business name		Date of formation	
		Registered no.	
		VAT reg no. (if applicable)	
Business type	Limited company <input checked="" type="checkbox"/>	Sole trader <input checked="" type="checkbox"/>	Partnership <input checked="" type="checkbox"/> Other <input checked="" type="checkbox"/>
Business address		Registered office	
Postcode <input type="text"/>		Postcode <input type="text"/>	
Office tel.		Office tel.	
E-mail		Email	
Web site		Web site	

## Please list all directors, ≥25%\* shareholders and all non-director bank signatories

### Please list the main contact first and continue on a separate sheet if necessary

\*If two or more connected persons are shareholders who together own ≥25% of the shares they should also provide their details.

For these purposes connected persons are spouse, civil partner, sibling, parent or grandparent.

Full name		Title		D.O.B.	
Position		Previous names (if applicable)			
Residential address		Previous residential address			
		If resided at current residential address for under 3 years			
Postcode <input type="text"/>		Postcode <input type="text"/>			
Home tel.		E-mail			
Mobile tel.		Director <input checked="" type="checkbox"/>	≥25% shareholder <input checked="" type="checkbox"/>	Bank signatory <input checked="" type="checkbox"/>	
Period at this address	Years <input type="text"/>	Months <input type="text"/>	Period at this address	Years <input type="text"/>	Months <input type="text"/>
Home owner <input checked="" type="checkbox"/>	or Tenant <input checked="" type="checkbox"/>	Please list on Page 6 any other companies in which you have a shareholding ≥25%			

Full name		Title		D.O.B.	
Position		Previous names (if applicable)			
Residential address		Previous residential address			
		If resided at current residential address for under 3 years			
Postcode <input type="text"/>		Postcode <input type="text"/>			
Home tel.		E-mail			
Mobile tel.		Director <input checked="" type="checkbox"/>	≥25% shareholder <input checked="" type="checkbox"/>	Bank signatory <input checked="" type="checkbox"/>	
Period at this address	Years <input type="text"/>	Months <input type="text"/>	Period at this address	Years <input type="text"/>	Months <input type="text"/>
Home owner <input checked="" type="checkbox"/>	or Tenant <input checked="" type="checkbox"/>	Please list on Page 6 any other companies in which you have a shareholding ≥25%			

Full name			Title		D.O.B.	
Position			Previous names (if applicable)			
Residential address			Previous residential address			
Postcode			If resided at current residential address for under 3 years		Postcode	
Home tel.			E-mail			
Mobile tel.		Director		<input checked="" type="checkbox"/>	≥25% shareholder	
				<input checked="" type="checkbox"/>	Bank signatory	
Period at this address		Years	Months	Period at this address		Months
Home owner		<input checked="" type="checkbox"/>	or Tenant		<input checked="" type="checkbox"/>	Please list on Page 6 any other companies in which you have a shareholding ≥25%

Full name			Title		D.O.B.	
Position			Previous names (if applicable)			
Residential address			Previous residential address			
Postcode			If resided at current residential address for under 3 years		Postcode	
Home tel.			E-mail			
Mobile tel.		Director		<input checked="" type="checkbox"/>	≥25% shareholder	
				<input checked="" type="checkbox"/>	Bank signatory	
Period at this address		Years	Months	Period at this address		Months
Home owner		<input checked="" type="checkbox"/>	or Tenant		<input checked="" type="checkbox"/>	Please list on Page 6 any other companies in which you have a shareholding ≥25%

**Please list all shareholders and continue on a separate sheet if necessary**

Where the business is part of a group please supply an organisation chart

Name	% of business owned	Name	% of business owned

**Bank details**

Name	Address	Manager and contact details
	Postcode	Tel no.
		E-mail

**Solicitor contact details**

Name	Address
Tel no.	Postcode
E-mail	

**Accountant contact details**

Name	Address
Tel no.	Postcode
E-mail	

**Please state how much you would like to borrow, the term and the purpose of the investment**

Total cost of project:	£	Total funding from other sources:	£
Required amount of funding from FW Capital Ltd:	£	Preferred term (1 - 5 years)	

Purpose of investment

Please state available security and its approximate value

**Please list any aid or grants received from public sources over the past three years (including any DTI SFLG or EFG loans) or any applications for financial assistance from public sources which have been made recently and where decisions are pending. You should include any aid or grants to any associated company.**

Date received /applied for	Type of aid/grant	Organisation providing aid/grant	Received	Pending	State aid value £
			X	X	
			X	X	
			X	X	
			X	X	
			Total value £		

**Where applicable, please submit the following with this application**

A business plan detailing the business history and future plans	X
3 years' statutory accounts (where available)	X
Copy of Bank statements for the previous 6 months	X
Your most recent management accounts and aged debtor /creditor list (where available)	X
At least 2 years projected month on month balance sheet, P&L and cashflow	X
Your Certificate of Incorporation (where available)	X
Copy of Business Rates invoice	X
Detailed CV for all directors and members of the senior management team	X

Please note that all directors, ≥ 25% shareholders and bank signatories will be required to provide ID verification by way of current passport or photographic driving licence together with address verification by way of recent mortgage statement, utility bill or bank statement less than 3 months old.

**Please answer the following questions**

**If you answer 'Yes' to questions 1 - 8 please provide details and use a separate sheet if necessary**

	Yes	No
1. Has the business approached any other lender(s) for this finance?	X	X
2. Has the business been refused finance for this project?	X	X
3. Has any director, shareholder or bank signatory been involved in a failed business?	X	X
4. Has any director, shareholder or bank signatory ever been disqualified as a company director?	X	X
5. Has any director, shareholder or bank signatory ever been made bankrupt? If yes, please provide court details and reference numbers	X	X
6. Does the business have any County Court Judgements (including those satisfied)? If yes, please provide court details and reference numbers	X	X
7. Does the business have any legal or arbitration proceedings pending or threatened?	X	X
8. Does the business have any overdue creditors?	X	X
9. Are the business's corporation tax, PAYE/NIC, VAT, or any other taxes paid to date?	X	X
10. Please state the current number of employees - to be expressed as full-time equivalent (FTE) job: A full time employee is classified as working 36 hours per week. Part time jobs should be treated on a pro rata basis.		
11. Number of jobs to be created - to be expressed as full-time equivalent (FTE) job: A full time employee is classified as working 36 hours per week. Part time jobs should be treated on a pro rata basis.		
12. Please state your turnover at the last financial year: £		
13. Please state your net balance sheet assets at the last financial year end: £		
14. Please state your SIC 2007 code		

**Please tell us how you heard about FW Capital**

Press	<input checked="" type="checkbox"/>	Advertising	<input checked="" type="checkbox"/>	Web site (FW Capital)	<input checked="" type="checkbox"/>	Web site (Other)	<input checked="" type="checkbox"/>	Business advisor	<input checked="" type="checkbox"/>
Event	<input checked="" type="checkbox"/>	FW Capital representative	<input checked="" type="checkbox"/>	Social media	<input checked="" type="checkbox"/>	Other	<input checked="" type="checkbox"/>		

**I confirm I have read the confirmation and agreements on page 5 and that as the applicant I am aware that my personal information provided to FW Capital will be used in accordance with FW Capitals privacy notice, including searches at credit reference agencies to verify the details in this application and for credit assessments.**

Signed		Signed	
Name (Please print)		Name (Please print)	
Position	Date	Position	Date
Signed		Signed	
Name (Please print)		Name (Please print)	
Position	Date	Position	Date

## Confirmation and agreements

Please read the following important information carefully.

### Use of your information

This sets out how the Development Bank of Wales and its group companies collectively described as 'FW Capital' will use the information provided in this application.

■ FW Capital may obtain information about you from credit reference agencies to help make decisions and to manage your account, including your payment performance. These agencies will supply FW Capital with credit information as well as information from the Electoral Register and other data held on your credit file.

■ The agencies will record details of any searches whether or not this application proceeds and these searches may be seen by other companies who make their own credit enquiries about you. This may affect your ability to obtain credit elsewhere in the near future.

■ Full guidance on what FW Capital does and how both FW Capital and credit reference agencies and fraud prevention agencies will use your information is detailed in the Credit Reference Agency Information Notice ("CRAIN") which can be accessed [here](#).

■ FW Capital may use credit-scoring methods to assess your application.

■ Your application will be assessed using credit reference agency records relating to anyone with whom you are linked financially. This information may also be used for debt tracing as well as the ongoing management of any funding FW Capital provides.

■ FW Capital may share information with credit reference agencies about how you manage your account. This information is available to other organisations (including fraud prevention agencies and other financial institutions) so that they can take decisions about you, your associates and members of your household.

■ Any information provided by you will be held by FW Capital to manage any funding provided and may be shared within FW Capital and with third parties to protect both FW Capital and FW Capital's customers against fraud. More details about how FW Capital uses personal information can be found in our [privacy notice](#).

■ In order to prevent or detect fraud the information provided in this application form will be shared with fraud prevention agencies. It is therefore vital that you provide accurate information at all times.

■ You have various rights in relation to your personal information, including the right to copies of any personal data held about you by FW Capital, and to ask for any inaccuracies to be corrected. More information about your rights can be found in our [privacy notice](#).

■ FW Capital has the right to request any more information if required.

### Confirmation and agreements

In completing this application form I/we confirm the following:

■ I/We submit this application for investment and certify the information provided is true and accurate.

■ I/We authorise FW Capital to request searches from credit reference agencies to look at available information about the business and the applicants associated with the business for the purpose of credit assessment.

■ I/We authorise FW Capital to conduct other investigations required in the assessment of this application including statistical analysis to test the repayment of any funding provided.

■ I/We acknowledge that FW Capital may share information about me/us with third parties such as credit reference agencies, fraud prevention agencies, the Northern Powerhouse Investments Ltd and any other third parties both for the assessment of this application, the prevention of financial crime and on-going management of any funding, or follow-on funding, provided.

■ I/We agree that the information and supporting documentation provided will be used by FW Capital to assess this application and FW Capital's decision is final.

■ I/We agree that false or misleading information will result in the application being declined without further explanation.

■ I/We confirm I/we have read the FW Capital **Privacy Notice** (<https://fwcapital.co.uk/privacy-policy>) and confirm the accuracy of the statements made therein.

