

Please complete all sections in full using BLOCK CAPITALS and the relevant boxes.

Your personal information - We may use credit reference and fraud prevention agencies to help us make decisions. What we do and how both FW Capital credit reference and fraud prevention agencies will use your information is detailed in the Credit Reference Agency Information Notice ("CRAIN") which can be accessed here (<https://www.equifax.co.uk/crain.html>). By confirming your agreement to proceed in this application form you are accepting that we may each use your information in this way.

**Please tell us about your business.**

Name of business, (including trading name and/or registered corporate name, if these are different):	Date of formation:	
	Registered number:	
	VAT reg no. (if applicable):	
	Business type:	
	Limited company	X
	Sole trader	X
	Partnership	X
Registered office address:	Business address (if different to registered address):	
Postcode:	Postcode:	
Telephone:	Website:	
E-mail:		
Please provide details of any group and/or related companies/businesses:		
Please provide a brief description of the business:		

**Please tell us about your funding requirement.**

How much funding are you applying for from FW Capital?	£
Term of contract and/or bond:	
Purpose of investment:	

Please detail the total funding from other sources for this project

Source:	Amount £:	Source:	Amount £:

Total project cost £

**Please answer the following questions.**

Has the business approached any other lender(s) for this finance?	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Has the business ever been refused finance for this project?	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
If yes, please provide details:				
Has the business ever had any County Court Judgements, whether satisfied or not? If yes, please provide court details and reference number:	Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>
Does the business have any legal or arbitration proceedings pending or threatened?				
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	
Does the business have any outstanding or overdue creditors?				
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	
Are the business's corporation tax, VAT, PAYE/NIC, or any other taxes paid to date?				
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	
Is this a start-up company?				
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	
Does your business have a beneficial owner*? If yes please provide details.				
Yes	<input checked="" type="checkbox"/>	No	<input checked="" type="checkbox"/>	

\*A beneficial owner is an individual who ultimately owns or controls directly or indirectly more than 25% of the shares in a business.

In which Local Authority is the business located?

Bank details	Account no.	
	Sort code	
Solicitor details	Telephone	
	Email	
Accountant details	Telephone	
	Email	

Your business's annual turnover at the last financial year end: £

Your business's net assets at the last year end: £

Current Number of Full-Time\* Employees

Current Number of Part-Time\*\* Employees (expressed as FTEs)

\*A Full-Time Employee is classified as working 30 or more hours per week and should be reported as 1 Full Time Equivalent (FTE) \*\*Part-Time Employees should be reported as a proportion of 1 FTE. For example, a Part-Time employee working 18 hours per week should be reported as 18/30 = 0.6 FTE.

Number of Jobs to be Safeguarded\*\*\* (expressed as FTEs)

Number of Jobs to be Created (expressed as FTEs)

\*\*\*A job safeguarded is an existing permanent, paid job at risk of being lost within one year if the requested funding is not obtained.

**Please provide the following additional information to enable us to process your application quickly.**

Detailed CV for all directors and members of the senior management team	<input checked="" type="checkbox"/>
Copy bank statements for the previous 6 months (where applicable)	<input checked="" type="checkbox"/>
Please note that all directors, ≥ 25% shareholders and bank signatories will be required to provide ID verification by way of current passport or photographic driving licence together with address verification by way of recent mortgage statement, utility bill or bank statement less than 3 months old.	<input checked="" type="checkbox"/>
Your Business Plan and any available contract details	<input checked="" type="checkbox"/>
3 year's statutory accounts (where available)	<input checked="" type="checkbox"/>
Your Certificate of Incorporation (where available)	<input checked="" type="checkbox"/>

Each director, shareholder of 25%+\* and authorised signatory should complete the following parts of this application to help us to comply with our customer due diligence requirements.

\*If two or more connected persons are shareholders who together hold 25%+ of the shares they should also provide their details. For these purposes connected persons are spouse, civil partner, sibling, parent or grandparent.

**First applicant:**

Please fill in the appropriate boxes.

Director  25%+ shareholder

Authorised signatory

If you are 25%+ shareholder, please tell us the percentage you hold:

Title:  Forename(s):

Middle name(s):

Surname:

Date of birth

Any other name you have been, or are, known by:

Current residential address:

Postcode:

Preferred contact number:

Mobile number:

Period at this address  Years  Months

Home owner  Tenant

Previous residential address (if at current address for less than three years):

Period at this address  Years  Months

Have you ever approached FW Capital for funding before?  Yes  No

Have you ever been involved in a failed business?  Yes  No

Have you ever been disqualified as a director?  Yes  No

Have you ever been bankrupt?  Yes  No

If yes, please provide court details and reference numbers:

Do you have any County Court Judgements?  Yes  No

If yes, please provide court details and reference numbers:

**Second applicant:**

Please fill in the appropriate boxes.

Director  25%+ shareholder

Authorised signatory

If you are 25%+ shareholder, please tell us the percentage you hold:

Title:  Forename(s):

Middle name(s):

Surname:

Date of birth

Any other name you have been, or are, known by:

Current residential address:

Postcode:

Preferred contact number:

Mobile number:

Period at this address  Years  Months

Home owner  Tenant

Previous residential address (if at current address for less than three years):

Period at this address  Years  Months

Have you ever approached FW Capital for funding before?  Yes  No

Have you ever been involved in a failed business?  Yes  No

Have you ever been disqualified as a director?  Yes  No

Have you ever been bankrupt?  Yes  No

If yes, please provide court details and reference numbers:

Do you have any County Court Judgements?  Yes  No

If yes, please provide court details and reference numbers:

I confirm I have read the confirmation and agreements on page 5 and that as the applicant I am aware that my personal information provided to FW Capital will be used in accordance with FW Capital's privacy notice, including searches at credit reference agencies to verify the details in this application and for credit assessments.

Signed:

Print name:

Position:

Date:

Signed:

Print name:

Position:

Date:

**Third applicant:**

Please fill in the appropriate boxes.

Director  25%+ shareholder Authorised signatory If you are 25%+ shareholder, please tell us the percentage you hold: Title:  Forename(s): Middle name(s): Surname: Date of birth        Any other name you have been, or are, known by: Current residential address: Postcode: Preferred contact number: Mobile number: Period at this address  Years  Months Home owner  Tenant Previous residential address (if at current address for less than three years): Period at this address  Years  Months Have you ever approached FW Capital for funding before?  Yes  No Have you ever been involved in a failed business?  Yes  No Have you ever been disqualified as a director?  Yes  No Have you ever been bankrupt?  Yes  No If yes, please provide court details and reference numbers: Do you have any County Court Judgements?  Yes  No If yes, please provide court details and reference numbers: 

I confirm I have read the confirmation and agreements on page 5 and that as the applicant I am aware that my personal information provided to FW Capital will be used in accordance with FW Capital's privacy notice, including searches at credit reference agencies to verify the details in this application and for credit assessments.

Signed: Print name: Position: Date: 

Please continue on a separate sheet, if necessary.

AF/10/04/2019

**Fourth applicant:**

Please fill in the appropriate boxes.

Director  25%+ shareholder Authorised signatory If you are 25%+ shareholder, please tell us the percentage you hold: Title:  Forename(s): Middle name(s): Surname: Date of birth        Any other name you have been, or are, known by: Current residential address: Postcode: Preferred contact number: Mobile number: Period at this address  Years  Months Home owner  Tenant Previous residential address (if at current address for less than three years): Period at this address  Years  Months Have you ever approached FW Capital for funding before?  Yes  No Have you ever been involved in a failed business?  Yes  No Have you ever been disqualified as a director?  Yes  No Have you ever been bankrupt?  Yes  No If yes, please provide court details and reference numbers: Do you have any County Court Judgements?  Yes  No If yes, please provide court details and reference numbers: Signed: Print name: Position: Date:

## Confirmation and agreements

Please read the following important information carefully.

### Use of your information

This sets out how the Development Bank of Wales and its group companies collectively described as FW Capital will use the information provided in this application.

■ FW Capital may obtain information about you from credit reference agencies to help make decisions and to manage your account, including your payment performance. These agencies will supply FW Capital with credit information as well as information from the Electoral Register and other data held on your credit file.

■ The agencies will record details of any searches whether or not this application proceeds and these searches may be seen by other companies who make their own credit enquiries about you. This may affect your ability to obtain credit elsewhere in the near future.

■ Full guidance on what FW Capital does and how both FW Capital and credit reference agencies and fraud prevention agencies will use your information is detailed in the Credit Reference Agency Information Notice ("CRAIN") which can be accessed here. (<https://www.equifax.co.uk/crain.html>).

■ FW Capital may use credit-scoring methods to assess your application.

■ Your application will be assessed using credit reference agency records relating to anyone with whom you are linked financially. This information may also be used for debt tracing as well as the ongoing management of any funding FW Capital provides.

■ FW Capital may share information with credit reference agencies about how you manage your account. This information is available to other organisations (including fraud prevention agencies and other financial institutions) so that they can take decisions about you, your associates and members of your household.

■ Any information provided by you will be held by FW Capital to manage any funding provided and may be shared within FW Capital and with third parties to protect both FW Capital and FW Capital's customers against fraud. More details about how FW Capital uses personal information can be found in our privacy notice.

■ In order to prevent or detect fraud the information provided in this application form will be shared with fraud prevention agencies. It is therefore vital that you provide accurate information at all times.

■ You have various rights in relation to your personal information, including the right to copies of any personal data held about you by FW Capital, and to ask for any inaccuracies to be corrected. More information about your rights can be found in our privacy notice.

■ FW Capital has the right to request any more information if required.

### Confirmation and agreements

In completing this application form I/we confirm the following:

■ I/We submit this application for investment and certify the information provided is true and accurate.

■ I/We authorise FW Capital to request searches from credit reference agencies to look at available information about the business and the applicants associated with the business for the purpose of credit assessment.

■ I/We authorise FW Capital to conduct other investigations required in the assessment of this application including statistical analysis to test the repayment of any funding provided.

■ I/We acknowledge that FW Capital may share information about me/us with third parties such as credit reference agencies, fraud prevention agencies, Tees Valley Combined Authority and any other third parties both for the assessment of this application, the prevention of financial crime and ongoing management of any funding, or follow-on funding, provided.

■ I/We agree that the information and supporting documentation provided will be used by FW Capital to assess this application and FW Capital's decision is final.

■ I/We agree that false or misleading information will result in the application being declined without further explanation.

■ I/We confirm I/we have read the FW Capital **Privacy Notice** (<https://fwcapital.co.uk/privacy-policy>) and confirm the accuracy of the statements made therein.

**How did you first hear about FW Capital?**

<b>1</b> Business event	<input checked="" type="checkbox"/>	<b>5</b> Online news article	<input checked="" type="checkbox"/>	<b>9</b> Business magazine	<input checked="" type="checkbox"/>	<b>12</b> Colleague/friend	<input checked="" type="checkbox"/>
<b>2</b> Social media	<input checked="" type="checkbox"/>	<b>6</b> Google search	<input checked="" type="checkbox"/>	<b>10</b> Online advert	<input checked="" type="checkbox"/>	<b>13</b> Business adviser	<input checked="" type="checkbox"/>
<b>3</b> Email newsletter	<input checked="" type="checkbox"/>	<b>7</b> FW Capital website	<input checked="" type="checkbox"/>	<b>11</b> Offline advert	<input checked="" type="checkbox"/>	<b>14</b> TV	<input checked="" type="checkbox"/>
<b>4</b> Blog article	<input checked="" type="checkbox"/>	<b>8</b> Newspaper	<input checked="" type="checkbox"/>	<b>15</b> FW Capital employee			<input checked="" type="checkbox"/>

If you crossed the box for business adviser or FW Capital employee, please provide their details:

**Additional notes**

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**Please submit your completed application form together with any relevant information to:**

**FW Capital Ltd**  
Wynyard Park House  
Wynyard Business Park,  
Wynyard, Billingham  
TS22 5TB  
01740 617 707  
info@fwcapital.co.uk  
www.fwcapital.co.uk

FW Capital is registered in England and Wales under number 7078439.  
Registered office: Unit J, Yale Business Village, Ellice Way, Wrexham, LL13 7YL  
Authorised and regulated by the Financial Conduct Authority: 506719.  
FW Capital is part of the DBW Group.  
Details of all members of the DBW Group are available at [developmentbank.wales](http://developmentbank.wales)

Supported by the  
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